

04/24/01
31041 U.S. PTO

04-25-01

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Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

31041 U.S. PTO
09/841631
04/24/01

In re Application of: LEVINE

Serial No.: not yet assigned

Filing Date: herewith

For: SYSTEMS AND METHODS TO
FACILITATE A DISPLAY OF
INVESTMENT INFORMATION
ASSOCIATED WITH A PLURALITY OF
SHARE PRICES

)
)
) Examiner: not yet assigned

)
) Group Art Unit: not yet assigned

)
) Attorney Docket No.: I03.001

Box PATENT APPLICATION

Commissioner for Patents
Washington, D.C. 20231

UTILITY PATENT APPLICATION TRANSMITTAL
(Small Entity)

Sir:

Transmitted herewith for filing under 35 USC 111(a) and 37 CFR 1.53(b) is a new utility patent application for an invention entitled: **SYSTEMS AND METHODS TO FACILITATE A DISPLAY OF INVESTMENT INFORMATION ASSOCIATED WITH A PLURALITY OF SHARE PRICES**

and invented by: **LEVINE**

Enclosed are:

1. ☒ Filing Fee as calculated and transmitted as described below
2. ☒ Specification having 29 pages and including the following:
 - a. ☒ Descriptive Title of the Invention
 - b. ☐ Cross references to Relate Applications (*if applicable*)

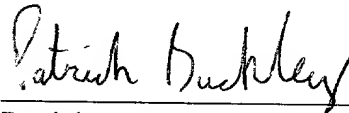
- c. ☐ Statement Regarding Federally-sponsored Research/Development
- d. ☐ Reference to Microfiche Appendix (*if applicable*)
- e. ☒ Background of the Invention
- f. ☒ Brief Summary of the Invention
- g. ☒ Brief Description of the Drawings (*if drawings filed*)
- h. ☒ Detailed Description
- i. ☒ Claim(s) as Classified Below
- j. ☒ Abstract of the Disclosure
- 3. ☒ Drawing(s) (*when necessary as prescribed by 35 USC 113*)
 - a. ☒ Formal Number of Sheets 12
 - b. ☐ Informal Number of Sheets
- 4. ☒ Oath or Declaration
 - a. ☒ Newly executed (*original or copy*) ☐ Unexecuted
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
 - c. ☐ With Power of Attorney ☐ Without Power of Attorney
 - d. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the Prior Application, see 37. CFR 1.63(d)(2) and 1.33(b).
- 5. ☐ Incorporation By Reference (*usable if Box 4b is checked*)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- 6. ☒ Assignment Papers (*cover sheet & documents(s)*) ✓
- 7. ☐ 37 CFR 3.73(B) Statement (*when there is an assignee*)
- 8. ☐ English Translation Document (*if applicable*)
- 9. ☒ Information Disclosure Statement/PTO-1449 ☒ Copies of IDS Citations
- 10. ☐ Preliminary Amendment
- 11. ☒ Acknowledgement Postcard
- 12. ☒ Mailed Via
 - ☐ First Class ☒ Express Mail (*Specify Label No.*) **EF283797413US**
- 13. ☐ Certified Copy of Priority Document(s) (*if foreign priority is claimed*)
- 14. ☐ Request and Certification under 35 USC 122(b)(2)(B)(i) (*attach PTO/SB/35*)
- 15. ☐ Additional Enclosures: _____

FEE CALCULATION

For	No. Filed	No. Allowed	No. Extra	Rate	Fee
Total Claims	28	- 20	8	\$ 9.00	\$ 72.00
Indep. Claims	8	- 3	5	\$ 40.00	\$ 200.00
Multiple Dependent Claims (If applicable, please add \$ 260.00)					\$ 0.00
BASIC FEE					\$ 355.00
OTHER FEE (specify purpose): Assignment Recordation					\$ 40.00
TOTAL FILING FEE					\$ 667.00

A check in the amount of \$ 667.00 is enclosed.

Respectfully submitted,



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April 24, 2001
 Date